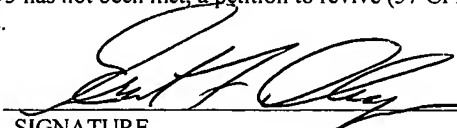


FORM PTO-1390 REV. 2/01T		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	CUSTOMER NO. <u>22,852</u> ATTORNEY'S DOCKET NUMBER <b>07781.0219</b>
<b>TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371</b>			U.S. APPLICATION NO. (If known) see 37 CFR 1.52 <b>10/526749</b>
INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/009832</b>	INTERNATIONAL FILING DATE September 4, 2003	PRIORITY DATE CLAIMED September 9, 2002	
TITLE OF INVENTION <b>METHODS AND SYSTEMS FOR MOVING DATA USING LOCKS</b>			
APPLICANT(S) FOR DO/EO/US <b>Martin FISCHER; Thorsten PFERDEKÄMPER</b>			
Applicant(s) herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1.	<input checked="" type="checkbox"/>	This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.	
2.	<input type="checkbox"/>	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.	
3.	<input type="checkbox"/>	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.	
4.	<input checked="" type="checkbox"/>	The US has been elected by the expiration of 19 months from the priority date (Article 31).	
5.	<input checked="" type="checkbox"/>	A copy of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input checked="" type="checkbox"/>	is attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/>	has been communicated by the International Bureau.
	c.	<input type="checkbox"/>	is not required, as the application was filed with the United States Receiving Office (RO/US).
6.	<input type="checkbox"/>	An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).	
	a.	<input type="checkbox"/>	is attached hereto.
	b.	<input type="checkbox"/>	has been previously submitted under 35 U.S.C. 154 (d)(4).
7.	<input checked="" type="checkbox"/>	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
	a.	<input type="checkbox"/>	are attached hereto (required only if not communicated by the International Bureau).
	b.	<input type="checkbox"/>	have been communicated by the International Bureau.
	c.	<input type="checkbox"/>	have not been made; however, the time limit for making such amendments has NOT expired.
	d.	<input checked="" type="checkbox"/>	have not been made and will not be made.
8.	<input type="checkbox"/>	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).	
9.	<input type="checkbox"/>	An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).	
10.	<input checked="" type="checkbox"/>	Annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).	
Items 11 to 20 below concern document(s) or information included:			
11.	<input checked="" type="checkbox"/>	Information Disclosure Statement under 37 CFR 1.97 and 1.98	
12.	<input type="checkbox"/>	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.	
13.	<input checked="" type="checkbox"/>	A <b>FIRST</b> preliminary amendment.	
14.	<input type="checkbox"/>	A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.	
15.	<input type="checkbox"/>	A Substitute specification.	
16.	<input type="checkbox"/>	A change of power of attorney and/or address letter.	
17.	<input type="checkbox"/>	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825.	
18.	<input type="checkbox"/>	A second copy of the published international application under 35 U.S.C. 154 (d)(4).	
19.	<input type="checkbox"/>	A second copy of the English language translation of the international application 35 U.S.C. 154 (d)(4).	
20.	<input checked="" type="checkbox"/>	Other items or information:	
	a.	<input checked="" type="checkbox"/>	Copy of cover page of International Publication No. <b>WO 2004/025505 A1</b>
	b.	<input type="checkbox"/>	Copy of Notification of Missing Requirements.
	c.	<input checked="" type="checkbox"/>	Request for Substitution of Replacement Sheets

U.S. APPLICATION NO. (If known, see 37CFR 1.5) <b>10/526749</b>		INTERNATIONAL APPLICATION NO. <b>PCT/EP2003/009832</b>		ATTORNEY'S DOCKET NUMBER <b>07781.0219</b>	
21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):</b>				<b>\$300.00</b>	
National Stage Search Fee.....				\$500.00	
National Stage Examination Fee.....				\$200.00	
<b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b>				<b>\$1000.00</b>	
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492 (e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	36	- 20 =	16	x \$50.00	\$ 800.00
Independent Claims	4	- 3 =	1	x \$200.00	\$ 200.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+\$360.00	\$	
<b>TOTAL OF THE ABOVE CALCULATIONS =</b>				<b>\$2000.00</b>	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
<b>SUBTOTAL =</b>				<b>\$2000.00</b>	
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest priority date (37 CFR 1.492(f)). <input type="checkbox"/> 20 <input type="checkbox"/> 30				\$	
<b>TOTAL NATIONAL FEE =</b>				<b>\$2000.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property. +				\$	
<b>TOTAL FEES ENCLOSED =</b>				<b>\$2000.00</b>	
				Amount to be refunded:	\$
				charged:	\$
<p>a. <input checked="" type="checkbox"/> A check in the amount of \$ <u>2000.00</u> to cover the above fees is enclosed.</p> <p>b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>06-0916</u>. A duplicate copy of this sheet is enclosed.</p> <p>d. <input type="checkbox"/> Fees are to be charged to a credit card. <b>WARNING:</b> Information on this form may become public. <b>Credit card information should not be included on this form.</b> Provide credit card information and authorization on PTO-2038.</p>					
<p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</p> <p><b>SEND ALL CORRESPONDENCE TO:</b>  Finnegan, Henderson, Farabow, Garrett &amp; Dunner, L.L.P.  901 New York Avenue, N.W.  Washington, D.C. 20001-4413</p>					
DATED: March 7, 2005			<p style="text-align: center;">  SIGNATURE  Ernest F. Chapman, Reg. No. 25,961  NAME/REGISTRATION NO.</p>		

10/526749

DT12 PCT/PTO 07 MAR 2005

PCT/EP2003/009832

Martin FISCHER, et al.

Attorney Docket No. 07781.0219

**ANNEXES TO THE  
PRELIMINARY EXAMINATION REPORT  
(ARTICLE 34 AMENDMENTS)**

**Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Sir:


**REQUEST FOR SUBSTITUTION OF REPLACEMENT SHEETS**

Please substitute the attached (1) replacement sheets 19-22 of the claims containing the Article 34 Amendments for sheets 19-22 of the claims in the enclosed as-filed PCT application and (2) the two attached replacement sheets of drawings of Figures 2 and 6 containing the Article 34 Amendments for drawing sheets containing Figures 2 and 6 in the enclosed as-filed PCT application.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: March 7, 2005

By:   
Ernest F. Chapman  
Reg. No. 25,961

EFC/FPD/blc